Award Transition Workflow

AWARD TRANSITION WORKFLOW (INDIVIDUAL)

Partners Impacted: All
Activation Required: No
User Type(s)/Role(s) Impacted: Grantors and Recipients (if included in an approval workflow)

Please note that these enhancements are for Notice of Awards (NoAs) processed one at a time. Bulk award processing (i.e.: two or more awards selected and processed together) will not show Compliance data on the Transition Status screen and will not automatically query real-time SAM.gov data prior to performing the validations. Compliance data and querying real-time Sam.gov data will be added to the Bulk Award process via a future release.

Real-time SAM.gov Data

Previously the Award Transition Workflow ran the validation rules against the organizational information currently available in GrantSolutions. Now the system will automatically use the SAM.gov Application Programming Interface (API) to pull in real-time data each time the user opens the Transition screen. If the workflow step includes running validation rules (i.e.: Ready for Approval, Certify, Ready for Review, & Issue), then the system will use real-time SAM.gov data for the validation.

Transition Status

Grantee	Application Type	Application Number	Grant Number	Status
Baltimore City Health Department Baltimore , MD 21202-4715	New	BLD2022000129	FR-BLD-0083-22-01-00	**Error(s):** 1. Principal Place of Performance Country is required. 2. The grantee does not have a vendor ID; please correct this in Notice Of Grant Award Draft screen. Vendor ID must be validated before an award action can be issued. 3. A STATUTORY AUTHORITY FOR GRANT/ COOPERATIVE AGREEMENT needs to be selected on the NGA Draft. 4. Award notification Funds Source is required. 5. The recipient's selected address has not passed validation. Please correct this through the 'Select Org Address' feature. 6. You need to assign a current Project Director to this application and/or to the NGA Draft. 7. Award notification worksite is required. 8. Award notification compliance is required. 9. The recipient's selected address does not have a valid County. Please correct this through the 'Select Org Address' feature. 10. Principal Place of Performance code is required. 11. The value entered in AMOUNT OF THIS AGREEMENT OR AMENDMENT of the NGA Draft must equal the total of the AMOUNT item fields for all Financial Accounts entered on the NGA Draft. 12. Award notification prior act is required. 13. Award notification purpose is required. 14. Type of Recipient is required.

Figure 1: Transition screen showing errors

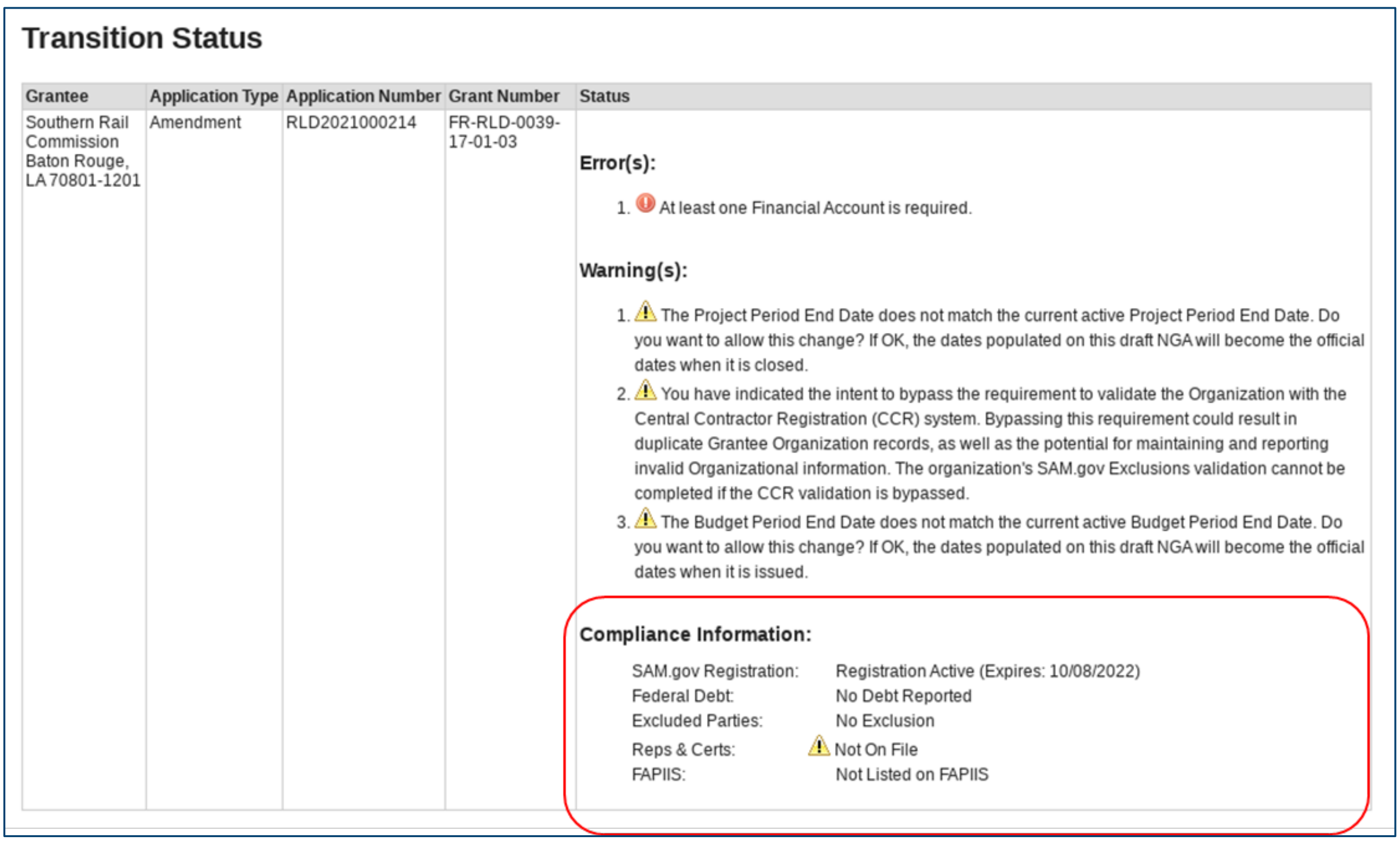
Note: The SAM.gov API will run regardless of which link is used to open the Transition Status screen (i.e.: from the Award Processing screen or the Award Overview screen).
Note: If the SAM.gov API is not running, then the system will use the last saved version of the SAM.gov data.

Compliance Data

Compliance data from the GrantSolutions' Recipient Data Insights (RDI) tool has been added to the Transition Status screen so it is readily available for the User while processing an award. Some fields include a link to that organization's record in RDI for Grantor users so they can review additional details.

Recipients that must accept the Notice of Award as part of the workflow settings will also see the compliance data but will not see the links back to RDI as they do not have access to that module.

This enhancement does not change the award validation rules. The existing errors and warnings that are generated by the workflow validation rules will still show on the Status column above the new Compliance data. This means that sometimes an award will have an error or warning about the CCR validation status in addition to the SAM.gov registration information in the Compliance section.

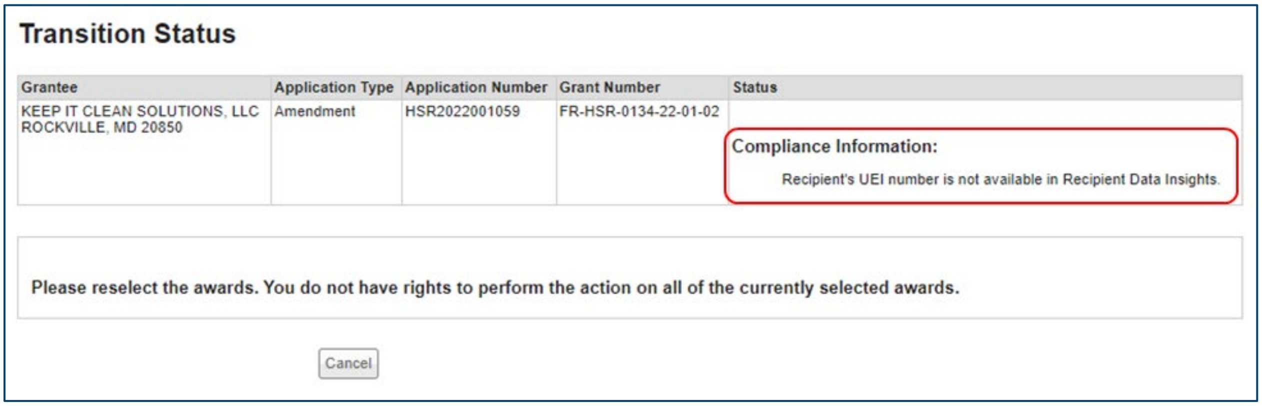


Transition Status

Grantee	Application Type	Application Number	Grant Number	Status
Southern Rail Commission Baton Rouge, LA 70801-1201	Amendment	RLD2021000214	FR-RLD-0039-17-01-03	**Error(s):** 1. At least one Financial Account is required. **Warning(s):** 1. The Project Period End Date does not match the current active Project Period End Date. Do you want to allow this change? If OK, the dates populated on this draft NGA will become the official dates when it is closed. 2. You have indicated the intent to bypass the requirement to validate the Organization with the Central Contractor Registration (CCR) system. Bypassing this requirement could result in duplicate Grantee Organization records, as well as the potential for maintaining and reporting invalid Organizational information. The organization's SAM.gov Exclusions validation cannot be completed if the CCR validation is bypassed. 3. The Budget Period End Date does not match the current active Budget Period End Date. Do you want to allow this change? If OK, the dates populated on this draft NGA will become the official dates when it is issued. **Compliance Information:** SAM.gov Registration: Registration Active (Expires: 10/08/2022) Federal Debt: No Debt Reported Excluded Parties: No Exclusion Reps & Certs: Not On File FAPIIS: Not Listed on FAPIIS

Figure 2: Transition Status screen showing a warning that Reps & Certs is not on file

If the organization is not in RDI, then the system will show the following message in the Compliance Information section, "Recipient's UEI number was not available in Recipient Data Insights".



Transition Status

Grantee	Application Type	Application Number	Grant Number	Status
KEEP IT CLEAN SOLUTIONS, LLC ROCKVILLE, MD 20850	Amendment	HSR2022001059	FR-HSR-0134-22-01-02	Compliance Information: Recipient's UEI number is not available in Recipient Data Insights.

Please reselect the awards. You do not have rights to perform the action on all of the currently selected awards.

Cancel

Figure 3: Transition Status screen if Recipient is not in Recipient Data Insights

Note: The Do Not Pay compliance indicators for the IRS Tax Exempt Revocation List and the Excluded Foreign Entities list are not included. These will be added in a future release.

AWARD WORKFLOW HISTORY

Partners Impacted: All
Activation Required: No
User Type(s)/Role(s) Impacted: Grantors and Recipients

Compliance Data

Now that the Compliance data is available on the Transition Status screen for individually processed awards, the system will save that information when the user changes the status. The compliance information will display on the Award Workflow History screen for that award in the row representing that action.

This enhancement does not change the award validation rules. The existing errors and warnings that are generated by the workflow validation rules will still show on the Status column above the new Compliance data. This means that sometimes a row will have an error or warning about the CCR validation status in addition to the SAM.gov registration information in the Compliance section.

The system will not save the Compliance data if a workflow step is processed automatically by the system (ex: Sent to Accounting).

Start Date	08/04/2022 11:59 AM (GMT - 05:00) Eastern Time (US & Canada)
Grant Number	FR-BLD-0048-22-01-00
Grant Program	Better Utilizing Investments to Leverage Development (BUILD) Transportation
Initiator	Rahbarova, Dila

User	Action	Task	Completed Date	Action Comments	Status
		Post GAO Release Delay			
ATT T-FRA-GAO1	Release	Ready For GAO Release	08/04/2022 01:37 PM EDT	1:36	**Compliance Information:** SAM.gov Registration: Registration Active (Expires: 09/10/2022) Federal Debt: No Debt Reported Excluded Parties: ⚠ Excluded Reps & Certs: ⚠ Not On File FAPIIS: ⚠ Listed on FAPIIS
fra ao	Release	Ready For AO Release	08/04/2022 01:05 PM EDT	08/04/2022,proof	**Compliance Information:** SAM.gov Registration: Registration Active (Expires: 09/10/2022) Federal Debt: No Debt Reported Excluded Parties: ⚠ Excluded Reps & Certs: ⚠ Not On File FAPIIS: ⚠ Listed on FAPIIS
Dila Rahbarova	Grants Staff Signed	Awaiting Acceptance	08/04/2022 12:45 PM EDT	Action Comments: The Grant staff has received notifications from the Grantee that they accepted Grant# FR-BLD-0048-22-01-00.	**Compliance Information:** Task was processed prior to availability of the automated compliance check feature.

Figure 4: Award Workflow History screen with Compliance Information

Some records will show the message "Task was processed prior to availability of the automated compliance check feature." This will happen in two scenarios:

1. Records that were processed prior to the Compliance data being added to the Individual Transition screen
   or
2. Records processed via the Bulk approval workflow.

This means that some rows on the Award Workflow History screen might have this message and others will have the compliance data depending on timing and whether the individual or bulk process was used. One row might have been processed before the code went to production, then the next by the individual process, the next via bulk, etc...

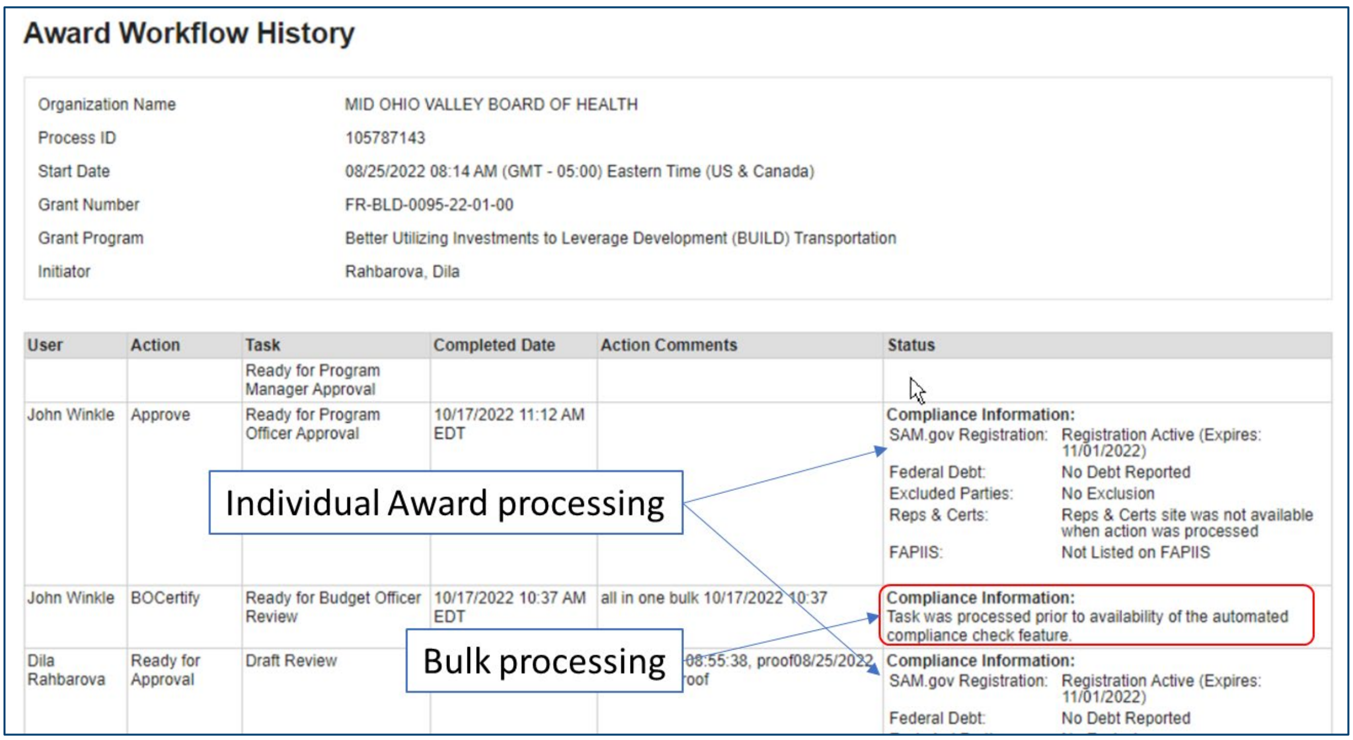


Figure 5: Award Workflow History for an Award using both Individual and Bulk processing

Awards for organizations that are not in RDI will not show Compliance data. Instead, the system will show the following message in that section, "Recipient's UEI number was not available in Recipient Data Insights".

Award Workflow History

Organization Name	KEEP IT CLEAN SOLUTIONS
Process ID	105786438
Start Date	07/29/2022 03:11 PM (GMT - 05:00) Eastern Time (US & Canada)
Grant Number	FR-HSR-0138-22-01-01
Grant Program	High-Speed Rail
Initiator	Maisheri, Sweta

User	Action	Task	Completed Date	Action Comments	Status
		Completed	08/01/2022 06:54 AM EDT		
Lynn Everett	Release	Ready For AO Release	08/01/2022 06:54 AM EDT	Released by LEverett at 4:23 PM on July 29. Hurray!!!	**Compliance Information:** Recipient's UEI number was not available in Recipient Data Insights.
Srikanth Ankishetty	Issue	Issue	07/29/2022 04:15 PM EDT	User comment:SAnkishetty, approved by SANK1237.29.20224:14PM	**Information:** The SAM.gov Exclusions statuses for the award are: Exclusions in SAM.gov: No SAM.gov Exclusions, Exclusion Type: N/A, SAM.gov Excluded Individuals/Entities: N/A, Exclusions Updated Date: N/A **Compliance Information:** Recipient's UEI number was not available in Recipient Data Insights.
Sweta Maisheri	Ready for Approval	Draft Review	07/29/2022 03:13 PM EDT		**Compliance Information:** Recipient's UEI number was not available in Recipient Data Insights.

Close

Figure 6: Award Workflow History screen if Recipient is not in Recipient Data Insights